

## Kinetics of reversible phase transitions in $\text{Ge}_2\text{Sb}_2\text{Te}_5$ thin films at femtosecond laser irradiation

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Femtosecond laser irradiation of amorphous  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  thin films initiates reversible phase transitions. The amorphization and crystallization of  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  thin films were experimentally and theoretically confirmed. Electron and lattice temperatures kinetics during laser pulse duration were evaluated by two-temperature models calculations and experimental data. The dynamical changing of optical properties have been taking into account. Temperatures and cooling rates, which are necessary to initiate phase transitions by IR laser pulses with subpicosecond duration. The observed results open perspectives for improvement of  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  nanophotonical devices.

**Keywords:**  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  femtosecond laser technologies, Raman spectroscopy, phase transitions.

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### Introduction

Chalcogenide semiconductor  $\text{Ge}_2\text{Sb}_2\text{Te}_5$  (GST225) is widely used as a basic material for the development of nonvolatile read-write memory devices [1]. In particular, GST225 is used in DVD–RW and PC–RAM due to the reversible phase transitions. The amorphous and crystalline phases demonstrate significant contrast between optical and electrophysical properties which allows to write, store and read data. Laser pumping is one of the methods of data writing and rewriting operations.

In case of continuous laser irradiation, crystallization of initial amorphous GST225 films flows within at least 100 ns [2]. This time is necessary for nucleation in the amorphous matrix [3]. At the same time crystallization of amorphous GST225 is accompanied by reconfiguring of germanium atoms in the lattice [4]. Thus, it is possible at relatively low temperatures from 400 K to 500 K [5].

Currently, research community is focused on crystallization of amorphous GST225 during minimum possible times in order to improve the response of data media based on this material. This is required for successful competition with existing DRAM and flash memory formats [6]. The transition from nanosecond laser pulses to femtosecond pulses for GST225 phase switching is a promising approach

to achieve a qualitative leap in further development of devices based on phase-changing materials [7]. Thus in the article [8] have been shown that the minimum time for electron and lattice temperature equalizing in GST225 when heated with ultrafast laser pulses sufficient for transition from amorphous state to crystalline state is 80 ps, which constitutes a high utilization potential of this material for fast data writing in this way. It should be noted that the cooling rate of GST225 shall not exceed 300 K/ns in order to form a crystalline phase [9].

On the other hand, memory erasing in GST225-based devices implemented by means of reverse phase transition — amorphization [1] — is performed by means of heating crystallized areas up to a melting temperature of 880 K followed by cooling at a rate of 10 K/ns and higher [10]. Such conditions may be achieved by fitting femtosecond laser pulse widths and energy provided that suitable GST225 film thicknesses and types of substrates are selected which also influence the phase transition kinetics [11].

Despite the large amount of experimental and theoretical findings, reversible phase transitions in thin GST225 films are studied and calculations are compared with observations primarily without accurate matching of numerical and experimental parameters to each other. The purpose of this study is to experimentally identify the potential for phase

transition initiation from amorphous to crystalline state and back in thin GST225 films when exposed to femtosecond laser pulses and analyze the dynamics of such processes using a two-temperature simulation with calculation of experimental parameters of samples and different laser emission modes.

## Experimental methods

Thin amorphous GST225 films on preliminary thermally oxidized crystalline silicon substrates were made by magnetron sputtering (MVU TM Magna 10) of a polycrystalline target (ACI Alloys). Silicon oxide layer thickness ( $\text{SiO}_2$ ) was  $1000 \pm 40$  nm, and GST225 thickness was  $200 \pm 20$  nm. Argon pressure in the chamber before and during sputtering was  $3 \cdot 10^{-3}$  and  $5.7 \cdot 10^{-1}$  Pa, respectively.

Phase transitions in deposited films were induced by emission generated by Avesta femtosecond laser system (wave length  $\lambda = 1250$  nm, pulse width  $\tau = 135$  fs, repetition rate  $\nu = 10$  Hz, pulse energy  $E = 10 \mu\text{J}$ ). The samples were irradiated in air with laser beam scanning of GST225 film surface at normal incidence and focusing with 8 cm lens. Laser energy fluence in these conditions was  $F \approx 0.1 \text{ J/cm}^2$ .

The scanning mode was implemented using Standa automated mechanical translators. The samples were moved in one direction along the laser emission polarization vector orientation at rate  $V = 5$  or  $20 \mu\text{m/s}$ . As a result, single scan lines with a width equal to laser spot diameter  $d = 100 \mu\text{m}$ . For this, the number of laser pulses  $N_s$  acting on the unit area of the sample during exposure in each case calculated using the following equation

$$N_s = \frac{\nu d}{V}. \quad (1)$$

Exposures calculated using expression (1) are  $N_s = 200$  and  $N_s = 50$ , respectively. Variation of  $N_s$  allowed to implement both initial amorphous GST225 film crystallization mode and reamorphization mode by means of longer irradiation.

The images of irradiated surfaces were obtained by means of optical microscopy (OM, Olympus BX41). Phase composition of initial and irradiated films was determined using the Raman spectra analysis findings measured using Horiba Jobin Yvon HR800 spectrometer. The excitation wavelength was 488 nm, integration time was 40 s and the number of measurements was 16.

## Theoretical modeling

Irradiation of semiconductors by femtosecond laser pulses is known to facilitate photoinduced generation of free charge carriers [12]. Concentration of charge carriers  $n_e(x, t)$  generated in the irradiated GST225 film may be calculated

by solution of the following differential equation:

$$\frac{\partial n_e}{\partial t} - D \frac{\partial^2 n_e}{\partial x^2} = \frac{\alpha(1-R)I(x, t)}{\hbar\omega} + \frac{\beta(1-R)^2 I^2(x, t)}{2\hbar\omega} - \frac{n_e}{\tau_{ee}}, \quad (2)$$

where  $D$  is the ambipolar diffusion coefficient,  $\omega$  is the laser frequency,  $R$  is the optical reflectance,  $I(x, t)$  is the dependence of incident laser emission intensity on time  $t$  and film depth  $x$ ,  $\alpha$  and  $\beta$  are the one- and two-photon absorption coefficients of GST225, respectively. Axis  $OX$  is directed from the film surface to its interface with the substrate. Electron-electron collision time  $\tau_{ee}$  is calculated [13] using the following equation

$$\tau_{ee} = \frac{\mu m^*}{e}, \quad (3)$$

where  $\mu$  is the charge carrier mobility,  $m^*$  and  $e$  is the effective electron mass and charge, respectively.  $I(x, t)$  used in (2) is calculated using the following equation:

$$I(x, t) = I(t) \exp(-\alpha x) + \frac{I(t)}{1 + \beta x I(t)}, \quad (4)$$

taking into account one- and two-photon absorption of GST225 and laser pulse shape  $I(t)$  described as

$$I(t) = I_0 \exp(-(t - \tau/2)^2/\tau^2), \quad (5)$$

where  $\tau$  is the laser pulse width. As shown, free electron concentration  $n_e$  changes in a dynamic manner depending on the time and position in the environment/thin film/substrate system. As a consequence, according to Drude theory, permittivity of the film material  $\varepsilon^*$ , linear optical absorption  $\alpha^*$  and reflectance  $R^*$  included in equation (2) vary:

$$\varepsilon^*(x, t) = \varepsilon - \frac{4\pi e_0^2 n_e(x, t)}{m^* \varepsilon_0 (\omega^2 + \tau_{ee}^{-2})}, \quad (6)$$

$$\alpha^*(x, t) = \frac{2\omega}{c} \text{Im} \varepsilon^*(x, t), \quad (7)$$

$$R^*(x, t) = \left| \frac{\sqrt{\varepsilon^*(x, t)} - 1}{\sqrt{\varepsilon^*(x, t)} + 1} \right|^2. \quad (8)$$

Dynamic variation of optical properties of amorphous and crystalline GST225 such as reflectance and linear absorption considerably influences the ultrashort laser pulse impact within the pulse width. In this case, the specific absorption rate from an external heat source is recorded as follows [14]:

$$S(x, t) = \alpha^*(x, t)(1 - R^*(x, t))I(x, t) + \beta(1 - R^*(x, t))^2 I^2(x, t). \quad (9)$$

In addition, excitation of free charge carriers as a result femtosecond laser irradiation dramatically impacts the

mechanism of GST225 heating. This is associated with plasma thermalization and following relaxation of the energy absorbed by free electrons into a crystalline lattice [14]. The system of interconnected heat transfer equations for free electrons and lattice is written as follows:

$$\rho c_e \frac{\partial T_e}{\partial t} = k_e \frac{\partial^2 T_e}{\partial x^2} - g(T_e - T_l) + S(x, t), \quad (10)$$

$$\rho c_l \frac{\partial T_l}{\partial t} = k_l \frac{\partial^2 T_l}{\partial x^2} + g(T_e - T_l), \quad (11)$$

where  $\rho$  is the substance density,  $c_e$  and  $k_e$  are the electronic heat capacity and electron heat conductivity coefficient,  $g$  is the electron-lattice relaxation constant,  $c_l$  and  $k_l$  are the lattice heat capacity and heat conductivity coefficient,  $T_e$  and  $T_l$  are the electron and lattice temperatures, respectively.  $c_e$  and  $k_e$  depend on free charge carrier concentration  $n_e(x, t)$  calculated using equation (2) and are calculated as follows:

$$c_e = \frac{3}{2} k_b n_e, \quad (12)$$

$$k_e = \frac{8k_b^2}{3\pi m^*} n_e T_e, \quad (13)$$

where  $k_b = 1.38 \cdot 10^{-23}$  J/K is Boltzmann constant. Electron lattice relaxation constant is calculated as

$$g = \frac{c_e}{\tau_{e-h}}, \quad (14)$$

where  $\tau_{e-h}$  is the electron lattice relaxation time. System of equations (2)–(14) was numerically solved by the method of finite differences in MatLab software using a rectangular grid. The initial conditions used in the calculations are  $n_e = 0 \text{ cm}^{-3}$  and  $T_e = T_l = 293 \text{ K}$  at  $t = 0$ . Time  $t$  is counted from the start of film irradiation to the laser pulse. Calculations by a coordinate and time used increments  $\Delta x = 1 \text{ nm}$  and  $\Delta t = 1 \text{ fs}$ , respectively. Physical quantities used in the numerical simulation are listed in the table.

## Results and discussion

OM images (Figure 1) obtained in reflected light show that nonirradiated areas on thin GST225 films are dark [11].

While the area exposed to femtosecond laser pulse sequence with  $N_s = 50$  (Figure 1, *a*) becomes lighter that may be indicative of its crystallization [11], because the reflectance of crystalline GST225 in visible range 400–800 nm is higher by  $\Delta R = 0.3$  than that of amorphous GST225 [15].

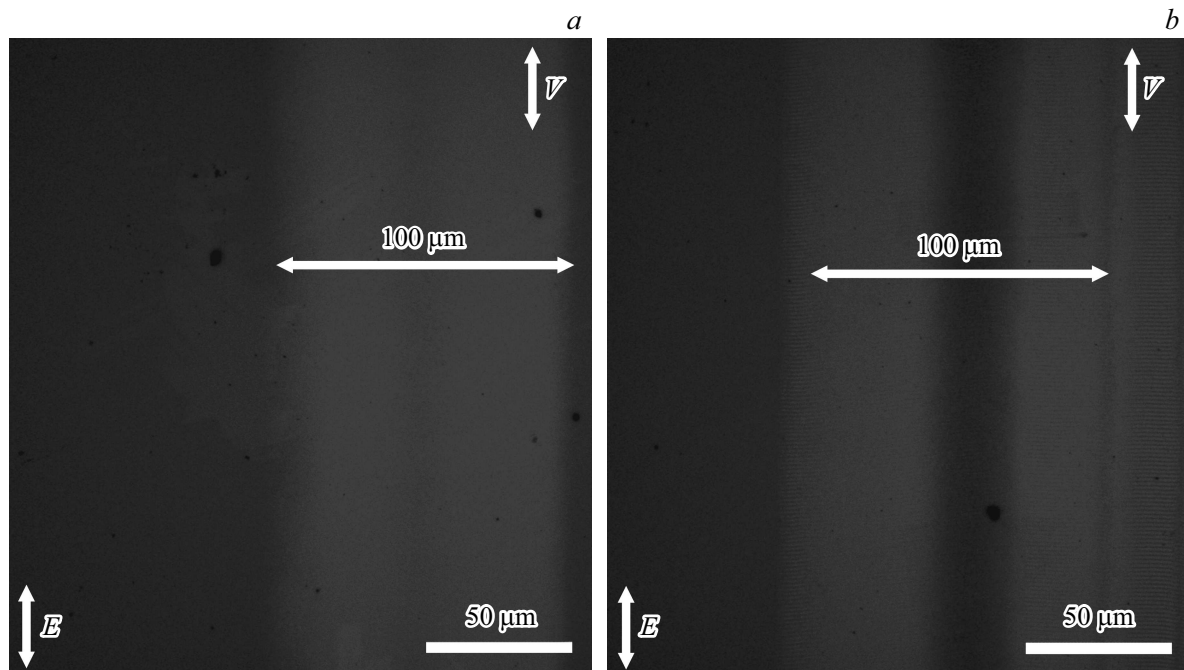
Analysis of irradiated and nonirradiated areas by Raman spectroscopy method has shown the following differences. Raman spectrum of initial GST225 film constitutes an asymmetric wide band in the wave number range 100–250  $\text{cm}^{-1}$  (Figure 2, *a*). This wide band in the spectrum is caused by the short-range order of amorphous GST225 phase and is a sequence of signal overlapping from five lines [19]

Values used in the calculations within the thermal process behavior simulation when amorphous thin GST225 films are exposed to femtosecond laser pulses

Permittivity of nonirradiated amorphous GST225 ( $\epsilon$ )	18.2 + 2.06i [15]
Permittivity of nonirradiated crystalline GST225 ( $\epsilon$ )	45.3 + 28.3i [15]
Optical reflectance of amorphous GST225 ( $R$ )	0.36 [15]
Optical reflectance of FCC crystalline GST225 ( $R$ )	0.58 [15]
Linear optical absorption of amorphous GST225 ( $\alpha$ )	24 500 $\text{cm}^{-1}$ [15]
Linear optical absorption of crystalline GST225 ( $\alpha$ )	185 000 $\text{cm}^{-1}$ [15]
Nonlinear optical absorption GST225 ( $\beta$ )	0.5 $\text{cm}^2/\text{GW}$ [16]
Effective mass ( $m^*$ )	0.68 $m_0$ [17]
Charge mobility ( $\mu$ )	52 $\text{cm}^2/(\text{V} \cdot \text{s})$ [17]
Ambipolar diffusion coefficient ( $D$ )	2 $\text{cm}^2/\text{s}$ [7]
Electron-phonon relaxation time ( $\tau_{e-h}$ )	2 ps [18]
Density GST225 ( $\rho$ )	6 · 10 <sup>3</sup> $\text{kg}/\text{m}^3$ [18]
GST225 lattice heat capacity ( $c_l$ )	220 $\text{J}/(\text{kg} \cdot \text{K})$ [18]

with peaks near 126, 141, 158, 190 and 215  $\text{cm}^{-1}$ . Lines near 126, 190 and 215  $\text{cm}^{-1}$  are associated with oscillation mode  $A_1$  of  $\text{GeTe}_{4-n}\text{Ge}_n$  tetrahedra ( $n = 1, 2$ ) [20]. In this case, the line near 215  $\text{cm}^{-1}$  can also correspond to mode  $F_2$  of  $\text{GeTe}_4$  tetrahedra [20]. Other lines are caused by the contribution of  $\text{Sb}_2\text{Te}_3$ : peak near 141  $\text{cm}^{-1}$  pertains to pyramidal structural units  $\text{Sb}_2\text{Te}_3$  [21] and the line near 158  $\text{cm}^{-1}$  corresponds to mode  $A_{1g}^2$  of  $\text{Sb}_2\text{Te}_3$  structure. Raman spectra of samples irradiated at  $N_s = 50$  are also an asymmetric wide band in the range of 100–250  $\text{cm}^{-1}$  (Figure 2, *b*), which is decomposed into 5 lines in a similar way. However, as shown in Figure 2, intensity ratio between these lines differs. In particular signal drop from the line near 158  $\text{cm}^{-1}$  and integral intensity growth of line decreases near 126  $\text{cm}^{-1}$  and 141  $\text{cm}^{-1}$  are observed. These changes may indicate crystallization of amorphous GST225 film into a face-centered cubic (FCC) crystalline phase due to reconfiguration of  $\text{GeTe}_4$  structural units. Such phase transitions of GST225 from the amorphous state to the crystalline phase [21] may be achievable at temperatures above 410 K [5].

During longer irradiation in a mode with  $N_s = 200$  (Figure 1, *b*), the same dark area appears in the center of the scan line as in nonirradiated film areas. This effect can be caused by reamorphization [11]. Additionally, surface lattices with a constant approximately equal to a structuring emission wavelength and with orientation perpendicular to



**Figure 1.** Optical images of thin GST225 films irradiated by  $N_s = 50$  (a) and  $N_s = 200$  (b) femtosecond laser pulses with energy density  $0.1 \text{ J/cm}^2$ .

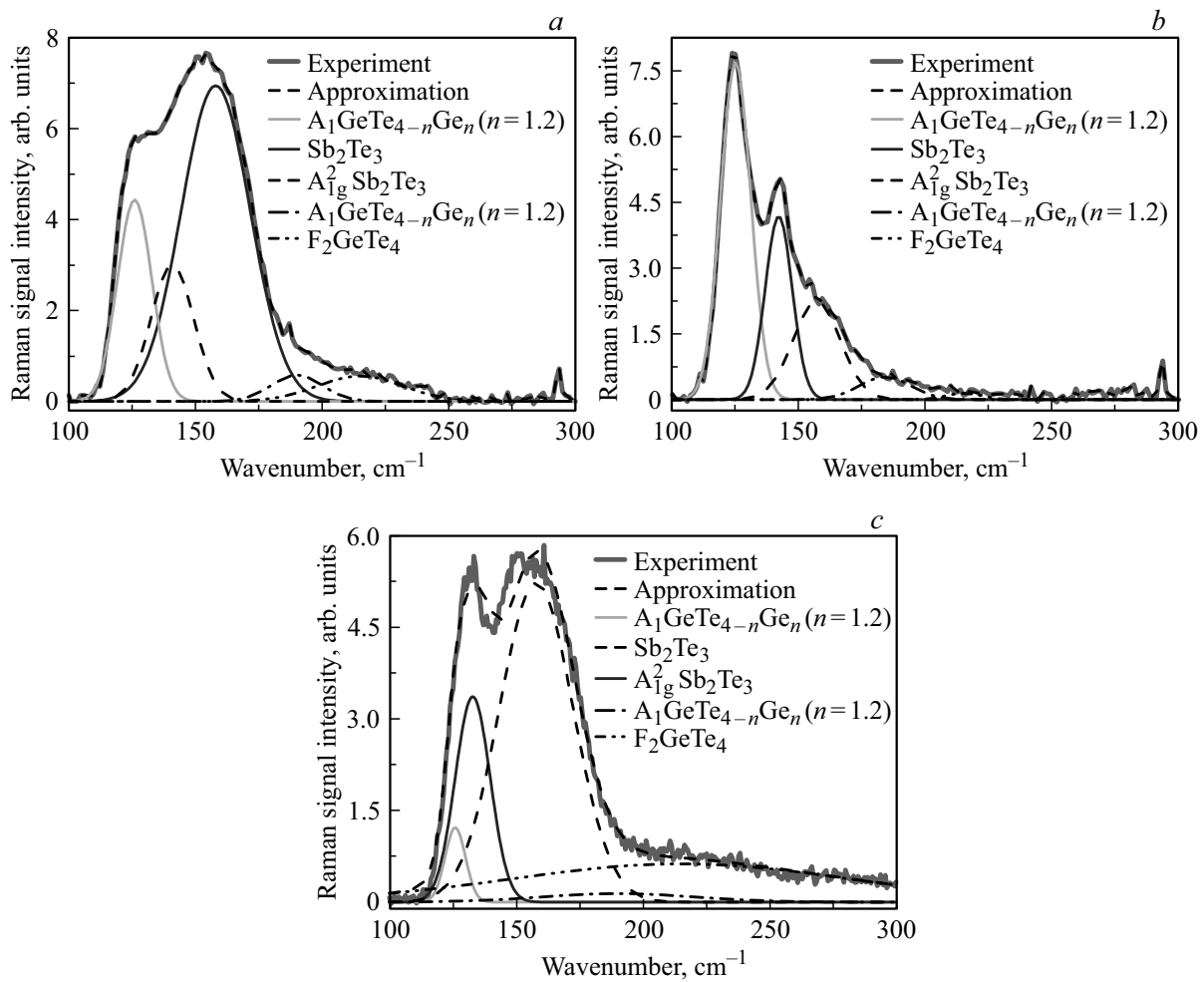
the structuring emission polarization are observed. They are the result of interference of surface plasmon-polaritons with incident emission generated at intensive photoexcitation [22]. The following changes are observed in Raman spectrum in the laser scan line center. Integral intensity of line decreases near  $126 \text{ cm}^{-1}$ , while integral intensity of lines near  $141 \text{ cm}^{-1}$  and  $158 \text{ cm}^{-1}$  grows, on the contrary (Figure 2, c). Thus, Raman spectrum becomes similar to the initial nonirradiated GST225 (Figure 2, a), which together with the optical reflectance variation shown using OM may say for reamorphization when the number of exposing laser pulses is increased [22]. Thus, as a result of femtosecond laser pulse exposure, a reversible phase transition is probably observed, which is implemented by means of heating above a GST225 melting temperature of  $880 \text{ K}$  in accordance with [10] followed by cooling with a rate higher than  $10 \text{ K/ns}$ .

The assumptions on crystallization and reamorphization of thin GST225 film in various femtosecond laser pulse exposure modes may be theoretically supported by means of electron and lattice temperature profile analysis within a two-temperature model [14,23] using the calculation method described in „Theoretical Simulation“ section.

The numeric simulation (Figure 3, a) has shown that simultaneous electron and lattice temperature increase is observed on the surface of amorphous thin GST225 film ( $x = 0 \text{ nm}$ ) at  $N_s = 50$  during  $300 \text{ fs}$  after the beginning of laser pulse exposure. At this time, the lattice temperature achieves its peak of  $510 \text{ K}$ . According to [5,24], the measured value is higher than the temperature of amorphous GST225 transition into FCC crystalline phase ( $410 \text{ K}$ ). Then

the lattice temperature starts decreasing at a rate of  $80 \text{ K/ns}$  (Figure 3, c). At the same time, the electron temperature, on the contrary, continues to show growth up to  $550 \text{ K}$  that is achieved at  $800 \text{ fs}$ . At this time, solution of equation (2) shows that at this time  $n_e(0 \text{ nm}, 800 \text{ fs}) \approx 0$ . This may be explained by thermalization of photoexcited charge carriers, including by means of collision between free electrons [25] with typical time in GST225 of about  $200 \text{ fs}$  [13]. A similar trend, but with lower temperatures, is also observed at the thin film and substrate interface at  $x = 200 \text{ nm}$  (Figure 3, b). The lattice temperature increases up to about  $440 \text{ K}$  during  $300 \text{ fs}$  after the start of laser pulse exposure. The achieved temperature as at  $x = 0 \text{ nm}$  exceeds the temperature of amorphous GST225 transition into FCC crystalline phase  $410 \text{ K}$ , which demonstrates that crystallization throughout the film thickness is possible at the specified laser emission parameters. This takes place because the penetration depth of the optical emission with a wavelength of  $1250 \text{ nm}$   $1/\alpha \approx 400 \text{ nm}$  [15] in amorphous GST225 is more than the film thickness. Further lattice cooling takes place with the rate of about  $60 \text{ K/ns}$  (Figure 3, c). At the same time, electron temperature at  $x = 200 \text{ nm}$   $t = 800 \text{ fs}$  after the start of laser pulse exposure achieves  $505 \text{ K}$  and then starts decreasing like in case when the surface temperature varies at  $x = 0 \text{ nm}$  (Figure 2, b).

The obtained findings show slow cooling of the irradiated system, which additionally contributes to crystallization of a chalcogenide compound [6]. Cooling down to the threshold crystallization temperature ( $410 \text{ K}$ ) takes place during about  $1 \text{ ns}$  throughout the film thickness (Figure 3, a, b). Nevertheless, the crystallization process itself may flow faster:



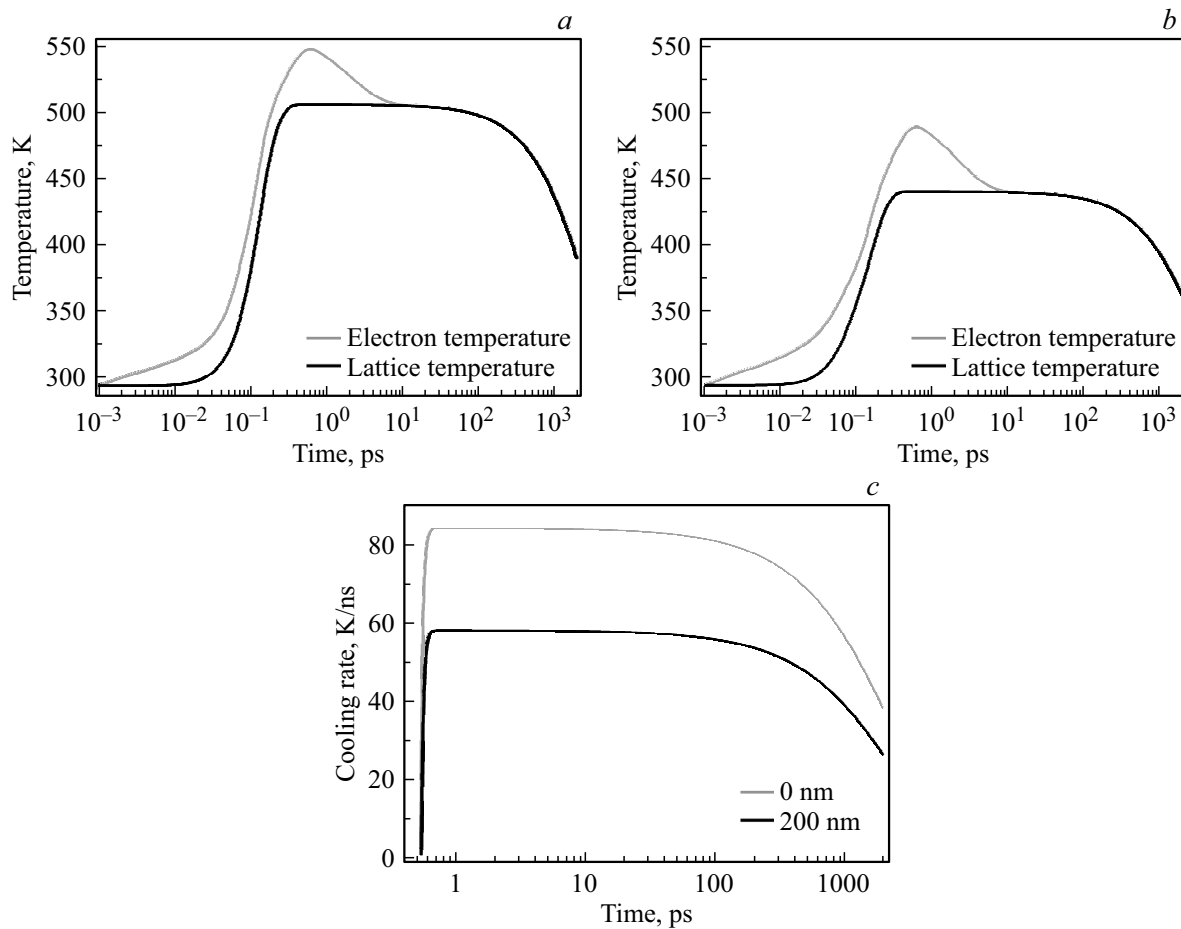
**Figure 2.** Raman spectra of thin GST225 films before (a) and after irradiation with  $N_s = 50$  (b) and  $N_s = 200$  (c) femtosecond laser pulses with energy density  $0.1 \text{ J/cm}^2$ .

according to the recent optical reflectance measurements using the „pump–probe“ method [7], crystallization in thin GST225 films as a result of femtosecond laser irradiation may be observed in times of about 100 ps, which is by three orders of magnitude lower than for crystallization with continuous laser exposure [2].

The calculations within the two-temperature model also made it possible to describe the potential reamorphization kinetics of previously crystallized areas on thin GST225 films during exposure to a greater amount of laser pulses  $N_s = 200$ , when heating is simulated for already crystallized area whose absorption is significantly higher than for amorphous phase (table) resulting in higher heating. In particular, on the thin film surface ( $x = 0 \text{ nm}$ ), crystalline GST225 lattice is heated up to  $\approx 915 \text{ K}$  during  $t = 600 \text{ fs}$  after the start of laser pulse exposure (Figure 4, a). This value exceeds the melting temperature of GST225 (880 K) [23] whose achievement is one of the amorphization process conditions [2]. Then the melt is cooled at a rather high rate of  $250 \text{ K/ns}$ , which by an order of magnitude higher than the required amorphization value  $10 \text{ K/ns}$  (Figure 4, c) [10].

Due to the high cooling rate and difference in 35 K between the maximum lattice temperature and melting temperature, amorphous layer is hardened during  $\sim 100 \text{ ps}$ , which corresponds to time limits of optical characteristic switching as a result of phase transitions in GST225 [7]. At the same time, at the film and substrate interface ( $x = 200 \text{ nm}$ ), crystalline GST225 lattice is heated only to about 770 K (Figure 4, b), which is lower than the melting temperature of GST225, and this means that reamorphization of crystalline GST225 throughout the film depth is impossible, though the cooling rate remains rather high and exceeds  $170 \text{ K/ns}$  within the interval of some picoseconds (Figure 4, c). This is due to the fact that the penetration depth of 1250 nm optical emission in FCC crystalline phase of GST225 is  $1/\alpha \approx 20 \text{ nm}$  [15], which is considerably lower than for amorphous GST225. Thus, the incident laser irradiation is intensively absorbed in the surface region and transition of FCC crystalline phase of GST225 into amorphous state is only possible at a shallow depth of the thin film.

The problem of partial crystallization throughout the depth could be solved by means of increase in the



**Figure 3.** Time dependences of electron and lattice temperatures on the thin film surface at  $x = 0$  nm (a) and at the substrate interface at  $x = 200$  nm (b) when amorphous thin GST225 films are exposed to  $N_s = 50$  laser pulses with energy density  $F = 0.1$  J/cm<sup>2</sup>; lattice temperature decrease rate on the film surface and substrate interface (c).

structuring emission energy flux density and corresponding increase in the maximum lattice heating temperature. However, in this case the melt cooling down time lower than the amorphous phase transition temperature would also increase. Nevertheless, it should be noted that the performed cooling down time calculations for crystallization and amorphization processes are upper estimates for phase transition time and optical properties change time of thin GST225 films as a result of femtosecond laser irradiation.

## Conclusions

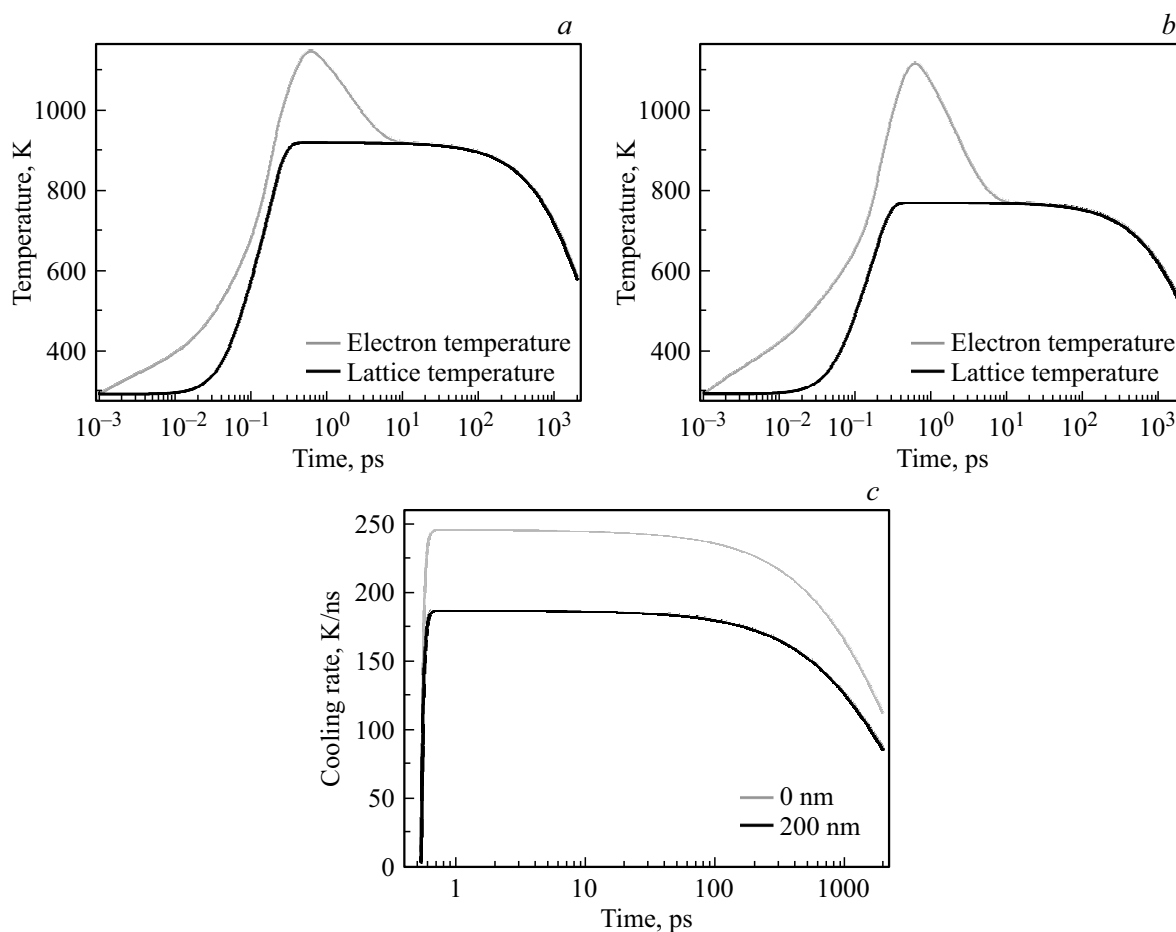
The investigation has shown that phase transitions into crystalline state and reversible transition into amorphous phase are possible in initially amorphous thin GST225 films exposed to various number of femtosecond laser pulses. The obtained result is experimentally supported by the Raman spectra analysis and OM images and is theoretically supported by the two-temperature simulation using the laser pulse characteristics and GST225 parameters in amorphous and crystalline phases for calculations. Electron and lattice temperature kinetics analysis shows that, depending on the

irradiation mode at subpicosecond times, thin GST225 film can be heated to the temperatures above the threshold of 410 K for transition into FCC crystalline phase or above 880 K for melting followed by fast hardening into amorphous state. The typical thin film cooling down times below these thresholds are within 100 ps–1 ns depending on the irradiation mode, which is indicative of possible decrease in times of the described phase transitions by 2–3 orders compared with the well-studied continuous laser emission application.

The findings are of interest for the development of new techniques of data writing and rewriting in thin GST225 films when exposed to femtosecond laser pulses.

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**Figure 4.** Time dependences of electron and lattice temperatures on the thin film surface at  $x = 0$  nm (a) and at the substrate interface at  $x = 200$  nm (b) when laser-crystallized thin GST225 films are exposed to  $N_s = 200$  laser pulses with energy density  $F = 0.1$  J/cm<sup>2</sup>; lattice temperature decrease rate on the film surface and substrate interface (c).

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#### Conflict of interest

The authors declare that they have no conflict of interest.

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